

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Derek PRATT et al.  
Serial Number: 09/891,671  
Filed: June 25, 2001  
Group Art Unit: 1614  
Examiner: not yet assigned  
Attorney Docket No.: N-6636  
Attorney Customer No.: 23456  
For: Novel Chain-Breaking Antioxidants

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Applicants hereby request that a corrected Official Filing Receipt be issued in the above-identified application. The Official Filing Receipt, received by Applicants, a marked-up, corrected copy of which is attached hereto, has errors with respect to the filing date and the title.

I. Filing Date

Applicants filed the above-identified Application via Express Mail under 37 C.F.R. § 1.10 on June 25, 2001. A copy of the letter transmitting the patent application

dated June 25, 2001, including a certification of Express Mail deposit dated June 25, 2001 is enclosed. Additionally, a copy of the stamped, Express Mail receipt dated June 25, 2001 is enclosed.

The Official Filing Receipt incorrectly lists the filing date as June 26, 2001. Applicants request that this date be changed to

--June 25, 2001--.

Additionally, Applicants request that all pertinent USPTO records relating to the instant Application be changed to reflect the correct filing date.

## II. Title

As indicated on page 1 of the Specification of the above-identified Application, the title of the present invention is "Novel Chain-Breaking Antioxidants".

The Official Filing Receipt incorrectly indicates that the title is "Novel chain-breadking antioxidants".

Accordingly, Applicants respectfully request that the title of the present invention as indicated on the Official Filing Receipt be changed to


-- Novel Chain-Breaking Antioxidants --.

Additionally, Applicants request that all pertinent USPTO records relating to the instant Application be changed to reflect the correct title.

No fee is required for this Request.

The Commissioner is authorized to charge any additional fees or credit any overpayment coincident to this Request to Deposit Account 23-0035.

Respectfully submitted,

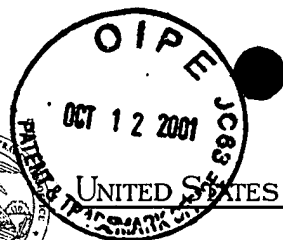


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Richard S. Myers, Jr.  
Registration No. 42,022  
WADDEY & PATTERSON  
A professional corporation

Attorney for Applicant

Customer No. 23456



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/891,671	<del>06/26/2001</del>	1614	454	N-6636	1	31	3

06/25/2001

CONFIRMATION NO. 9766

## FILING RECEIPT



\*OC00000006429418\*

Richard S. Myers, Jr.  
Wadley & Patterson  
Suite 2020  
414 Union Street  
Nashville, TN 37219

Date Mailed: 08/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Derek A. Pratt, Nashville, TN;  
Luca Valgimigli, Forlì, ITALY;  
Gino A. DiLabio, Aylmer, CANADA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 60/213,826 06/23/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/15/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

*breaking*  
Novel chain-breaking antioxidants

## Preliminary Class

DOCKET DATE: *9/26/01*  
ASSIGNED BY: *6/26/02*  
DOCKETED BY: *me*  
DATE: *9/4/01*

RECEIVED

AUG 22 2001

WADLEY &amp; PATTERSON

514

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Data entry by : GURMU, MULUEMEBET

Team : OIPE

Date: 08/15/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

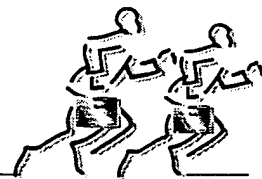
- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



Intellectual Property Law



**Waddey & Patterson, P.C.**

Patents • Trademarks • Enforcement

**Registered Patent Attorneys**

Mark J. Patterson  
C. Waddey, Jr.  
Edward D. Lanquist, Jr.  
Lucian Wayne Beavers  
John C. Garvin, Jr.  
Emily A. Shouse  
David B. Pieper  
Larry W. Brantley  
Richard S. Myers, Jr.  
Martha B. Allard  
Ray W. Hensley

**Attorneys**

Douglas W. Schelling, Ph.D.

Licensed in a State other than  
Tennessee.

**Registered Patent Agents**

Harold W. Hilton

Michael J. McCarthy, Ph.D.

**Technical Advisors**

Eleanor M. McCarthy, Ph.D.

June 25, 2001 (MONDAY)

Assistant Commissioner for Patents  
Box New Patent Application  
Washington, DC 20231

EL 849655147US

**VIA EXPRESS MAIL LABEL  
NUMBER EL 849655147 US  
CUSTOMER NO.: 23456  
ATTORNEY'S DOCKET NO.: N-6636**

Dear Sir:

Enclosed for filing is the following:

1. Original utility patent application for the invention by Derek A. Pratt, et al of a "Novel Chain-Breaking Oxidants" which includes:
  - a. Specification consisting of 66 pages;
  - b. 1 page of drawings;
  - c. Declaration and power of attorney of the inventors (unsigned)
2. Original filing fee in the amount of \$454.00.
3. Self-addressed return post card.

**Small Entity Status**

Applicant claims small entity status under 37 C.F.R. 1.27.

The filing fee is calculated as follows:

Small entity filing fee:	\$355.00
11 additional claim:	<u>\$ 99.00</u>
Total filing fee:	\$454.00

Offices also at:  
AmSouth Center  
200 Clinton Avenue  
Suite 302  
Huntsville, AL 35801  
256.535.4400  
Fax: 256.535.4402

Bank of America Plaza • 414 Union Street • Suite 2020 • Nashville, TN 37219  
615.242.2400 • Fax: 615.242.2221 • www.iplawgroup.com



Commissioner of Patents  
and Trademarks  
June 25, 2001  
Page 2

You are authorized to charge any deficiency or credit any overpayment associated with the filing of this application to Deposit Account 23-0035.

Very truly yours,

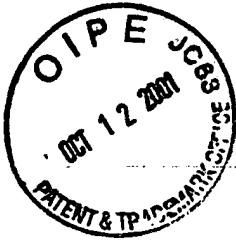
WADDEY & PATTERSON

Richard S. Myers, Jr.  
Registration Number 42,022

RSMJr/fmv  
enclosures

TECHNICAL INFORMATION





Commissioner of Patents  
and Trademarks  
June 25, 2001  
Page 3

"EXPRESS MAIL" mailing label number:

EL 849655147 US

Date of deposit:

June 25, 2001

I hereby certify that this correspondence, including the following:

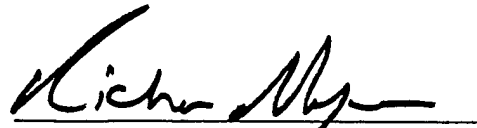
1. Original utility patent application for the invention by Derek A. Pratt, et al of a "Novel Chain-Breaking Oxidants" which includes:
  - a. Specification consisting of 66 pages;
  - b. 1 page of drawings;
  - c. Declaration and power of attorney of the inventors(unsigned)
2. Original filing fee in the amount of \$454.00.
3. Self-addressed return post card.

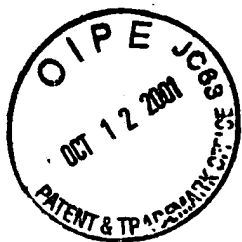
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R.§1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents  
Box New Patent Application  
Washington, DC 20231

Date:

June 25, 2001

  
Richard S. Myers, Jr.



The following papers were received in the U.S. Patent and Trademark Office:

Applicant: Derek Pratt, et al.  
For Mark: Derivatives of 5-Pyrimidinols and 3-Pyridinols: Novel Chain-Breaking Antioxidants

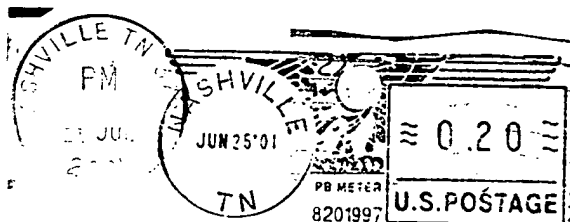
Atty. Docket No.: N-6636-RSM

Documents: Utility patent application  
Specification-66 pages  
Declaration and Power of Attorney (unsigned)  
\$454.00 filing fee  
Transmittal postcard

Attorney: Richard S. Myers, Jr.

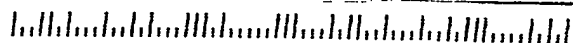
Date Mailed: June 25, 2001

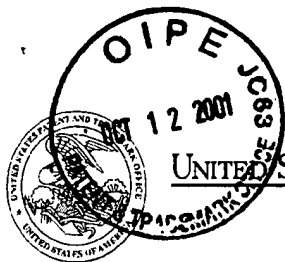
RECEIVED  
JUL 12 2001  
WADDEY AND PATTERSON, P.C.



Waddey & Patterson, P.C.  
414 Union Street Suite 2020  
Nashville, TN 37219

DOCKET DATE: 9/26/01  
ASSIGNED BY: 6/26/02  
DOCKETED BY: MC  
DATE: 7/27/01





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/891,671	06/26/2001	Derek A. Pratt	N-6636

CONFIRMATION NO. 9766

## FORMALITIES LETTER



\*OC00000006429419\*

Richard S. Myers, Jr.  
Wadley & Patterson  
Suite 2020  
414 Union Street  
Nashville, TN 37219

Date Mailed: 06/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/15/2001 HTECKLU1 00000105 09891671

01 FC:205

65.00 0P



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Derek A. Pratt, et al.  
Serial No.: 09/891,671  
Filed: June 25, 2001  
For: Novel Chain-Breaking Oxidants  
Group Art Unit: 1614  
Examiner: Not yet assigned  
Attorney's Docket No. N-6636 RSM  
Customer No. 23456

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Attn: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is in response to the Notice to File Missing Parts of Application -  
Filing Date Granted mailed August 15, 2001.


Enclosed are the following material(s) for filing in the U.S. Patent  
Application identified above:

- Declaration and Power of Attorney (signed);
- Check to cover the surcharge fee in compliance with 37 C.F.R.  
§1.16(e) in the amount of \$65.00.
- A copy of the Notice to File Missing Parts of Application - Filing Date  
Granted is also enclosed.

The Commissioner is authorized to charge any deficiency or credit any

overpayment associated with the filing of this Response to Deposit Account 23-0035.

Respectfully submitted,

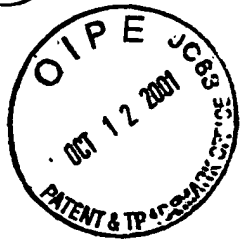


Richard S. Myers, Jr.  
Registration No. 42,022  
WADDEY & PATTERSON  
A Professional Corporation  
Customer No. 23456

ATTORNEY FOR APPLICANT

This attorney is located at our Nashville, Tennessee office and can be contacted directly at:

Richard S. Myers, Jr.  
Waddey & Patterson  
414 Union Street, Suite 2020  
Bank of America Plaza  
Nashville, TN 37219  
(615) 242-2400



Section \$  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Derek PRATT et al.  
Serial Number: 09/891,671  
Filed: June 25, 2001  
Group Art Unit: 1614  
Examiner: not yet assigned  
Attorney Docket No.: N-6636  
Attorney Customer No.: 23456  
For: Novel Chain-Breaking Antioxidants

SMALL ENTITY TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Transmitted herewith are the following:

- (1) Response to Notice to File Missing Parts;
- (2) Copy of Notice to File Missing Parts mailed 8/15/01;
- (3) Request for Corrected Filing Receipt;
- (4) Declaration and Power of Attorney (signed); and
- (5) a check in the amount of \$65.00.

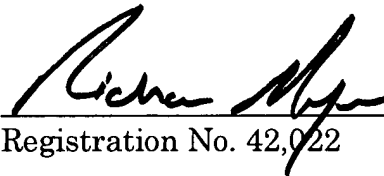
CERTIFICATE OF FIRST CLASS MAILING

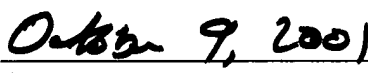
I hereby certify that:

- (1) Response to Notice to File Missing Parts;
- (2) Copy of Notice to File Missing Parts mailed 8/15/01;
- (3) Request for Corrected Filing Receipt;
- (4) Declaration and Power of Attorney (signed); and
- (5) a check in the amount of \$65.00

are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, or October 9, 2001.

Richard S. Myers, Jr.

  
Registration No. 42,022

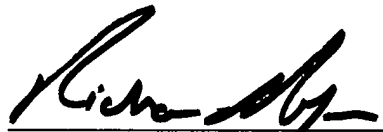
  
Date

The Commissioner is respectfully to generate a corrected filing receipt incorporated the changed indicated herein and mail the corrected filing receipt to Applicant's representative.

The \$65.00 fee for the Response to Notice to File Missing Parts is enclosed. ~~No fee is required for the Request for Corrected Official Filing Receipt.~~

The Commissioner is authorized to charge any additional fees or credit any overpayment coincident to this Request to Deposit Account 23-0035.

Respectfully submitted,



Richard S. Myers Jr.  
Registration No. 42,022  
WADDEY & PATTERSON  
A professional corporation

Attorney for Applicant

Customer No. 23456